

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Vascon Engineers Limited**
2. Quarter ending - **30-Sep-2021**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity	Memberships in Committees of the Company
Mr.	V. MOHAN	00071517	ID,C & NED		06-Mar-2007	23-Sep-2019		84	06-May-1951	NA		2	2	4	2	AC,SC,NRC
Mr.	SIDDHARTH VASUDEVAN MOORTHY	02504124	ED	MD	29-Mar-2018	01-Apr-2018			20-Dec-1985	NA		1	0	2	0	AC,SC
Mr.	K.G. KRISHNAMURTHY	00012579	ID		21-Jun-2006	23-Sep-2019		84	29-Apr-1956	NA		3	3	4	3	AC,SC,NRC
Mr.	MUKESH SATPAL MALHOTRA	00129504	ID		17-May-2016	17-May-2021		64	12-Jun-1952	NA		1	1	2	0	AC,SC,NRC
Mr.	SANTOSH SUNDARARAJAN	00015229	ED	CEO	31-May-2021	28-Sep-2021			15-Dec-1976	NA		1	0	0	0	
Mr.	SOWMYA ADITYA IYER	06470039	NED		31-Mar-2015	31-Mar-2015			11-Nov-1989	NA		1	0	0	0	NRC

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	V. MOHAN	ID,C & NED	Chairperson	19-Sep-2007	
2	K.G. KRISHNAMURTHY	ID	Member	07-Nov-2015	
3	MUKESH SATPAL MALHOTRA	ID	Member	09-Feb-2017	
4	SIDDHARTH VASUDEVAN MOORTHY	ED	Member	31-May-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	K.G. KRISHNAMURTHY	ID	Chairperson	12-Aug-2014	
2	SIDDHARTH VASUDEVAN MOORTHY	ED	Member	31-May-2021	
3	MUKESH SATPAL MALHOTRA	ID	Member	09-Feb-2017	
4	V. MOHAN	ID,C & NED	Member	11-Jun-2007	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	K.G. KRISHNAMURTHY	ID	Chairperson	12-Aug-2014	
2	MUKESH SATPAL MALHOTRA	ID	Member	09-Feb-2017	
3	V. MOHAN	ID,C & NED	Member	11-Jun-2007	
4	SOWMYA ADITYA IYER	NED	Member	07-Nov-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
31-May-2021	14-Aug-2021	Yes	3	3
28-Jun-2021	21-Aug-2021	Yes	3	3
	30-Sep-2021	Yes	3	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	46

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	31-May-2021		Yes	2	3
Audit Committee		14-Aug-2021	Yes	1	3
Audit Committee		21-Aug-2021	Yes	1	3
Nomination & Remuneration	11-May-2021		Yes	1	3

Committee					
Nomination & Remuneration Committee	31-May-2021		Yes	1	3
Nomination & Remuneration Committee		14-Aug-2021	Yes	1	3
Stakeholders Relationship Committee	31-May-2021		Yes	2	3
Stakeholders Relationship Committee		14-Aug-2021	Yes	1	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	74

v. **Related Party Transactions**

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Not Applicable	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5.
 - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 - b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name : **VIBHUTI DARSHIN DANI**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE III**Affirmations**

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	Due to pre-occupations and other commitments, Mr. K. G. Krishnamurthy could not attend the meeting. However, Mr. V. Mohan, Mrs. Sowmya Iyer, Members of the Nomination and Remuneration Committee were present at the meeting to reply to shareholders queries if any.
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	No	Due to pre-occupations and other commitments, Mr. K. G. Krishnamurthy could not attend the meeting. However, Mr. V. Mohan, Mr. Siddharth Vasudevan Moorthy, Members of the Stakeholders Relationship Committee were present at the meeting to reply to shareholders queries if any.
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

Name : **VIBHUTI DARSHIN DANI**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE IV

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status

Name: SOMNATH BISWAS
Designation: Chief Financial Officer
Place: PUNE
Date: 21-Oct-2021